# IAP13 Rec'd PCT/PTO 03 NOV 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

DESIGNATED/ELECTED	OFFICE (DO/EO/US)	U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
CONCERNING A SUBMISSIO		10/554,203
INTERNATIONAL APPLICATION NO. PCT/JP04/05882	INTERNATIONAL FILING DATE 23 April 2004	PRIORITY DATE CLAIMED 25 April 2003
TITLE OF INVENTION PROBE		
APPLICANT(S) FOR DO/EO/US		
Otomo et al.		
	tes Designated/Elected Office (DO/EO/ is concerning a submission under 35 U	(US) the following items and other information:
	NT submission of items concerning a s	
	national examination procedures (35 tindicated below.	J.S.C. 371(f)). The submission must
4. The US has been elected (Article 3	31).	
5. A copy of the International Applicat	tion as filed (35 U.S.C. 371 (c)(2))	
a. is attached hereto (required or	nly if not communicated by the Internation	onal Bureau).
b. has been communicated by the		
	ion was filed in the United States Rece	
	the International Application as filed (3	5 U.S.C. 371(c)(2)).
a. x is attached hereto.		
b. has been previously submitted		-I- 40 (05 H 0 0 074(-V0V)
	nternational Application under PCT Arti	
	only if not communicated by the Interna	monal Bureau).
b. have been communicated by t	the time limit for making such amendi	ments has NOT expired
d. have not been made and will r	•	none has No Feather.
	the amendments to the claims under P	PCT Article 19 (35 U.S.C. 371(c)(3)).
9. x An oath or declaration of the inven		
	the annexes of the International Prelim	inary Examination Report under PCT
Items 11 to 20 below concern docur	ment(s) or information included:	
11. x An Information Disclosure Stater	ment under 37 CFR 1.97 and 1.98.	
12. x An assignment document for reco	rding. A separate cover sheet in compli	iance with 37 CFR 3.28 and 3.31 is included.
13. x Response to the Notification of M	issing Requirements and Second pref	liminary amendment.
14. x An Application Data Sheet under	37 CFR 1.76 (Supplemental)	
15. A substitute specification.		
16. A power of attorney and/or chang	e of address letter.	
17. A computer-readable form of the	sequence listing in accordance with P	CT Rule 13ter.2 and 37 CFR 1.821 – 1.825.
	nternational Application under 35 U.S.	
19. A second copy of the English land	guage translation of the international a	application under 35 U.S.C. 154(d)(4).

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U.S. APPLICATIO	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 10/554,203 PCT/JP04/05882 32011-224703									
20. x Other	All fees were paid initially when application was filed on October 24, 2005. A copy of the first 35 U.S.C. 371 and the date stamped sheet showing fees paid is also enclosed. No fees are currently due in this application.									
		Notifica	ation of Missing Req	uire	ements Under 35 U.	S.C. 371 (return	і сору)			
	•	ave been submitte				CALCULATION	S PTO USEONLY			
21. Basic	national fee	(37 CFR 1.492(a	))		\$300	\$				
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prepared by I	PEA/US indicate	es all claims satisfy pr	ational preliminary exam rovisions of PCT Article 3	33(1)	)-(4)\$0	\$				
23. Sear	ch fee (37 CF)	R 1.492(b))								
			preliminary examination of PCT Article 33(1)-(4)							
Search fee (37 C	FR 1.445(a)(2))	has been paid on the	e international application	n to	the USPTO as an	\$				
International Sea	rch Report prepa	ared by an ISA other	than the US and provide	ed to	the Office or	<b>*</b>				
	TOTAL OF 21,	22 and 23 =				\$				
sequer electro	nce listing in com nic medium) (37	npliance with 37 CFR CFR 1.492(j)).	ed in paper over 100 she 1.821(c) or (e) or compu s of paper or fraction the	ıter	program listing in an					
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)		RATE					
74 - 100 =	/50	=			x \$250.00	\$				
		any of the search fee of the national stage	e, examination fee, or the (37 CFR 1.492(h)).	oat	h or declaration	\$				
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Independent		- 3 =		х						
MULTIPLE DEP	ENDENT CLAIM	M(S) (if applicable)	707.1.05	+						
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Applican	t claims small en	ntity status. See 37 C	FR 1.27. Fees above a	re re	educed by 1/2.					
					SUBTOTAL =	\$				
Processing fee of claimed priority of			anslation later than 30 m	nont	hs from the earliest	\$				
				то	TAL NATIONAL FEE =	\$				
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$				
		\$								
TOTAL FEES ENCLOSED =						\$				
						Amount to be refunded:	\$			
						Amount to be charged	\$			

PTO-1390 (Rev. 07-2005)
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a. A check in the amount of \$ to cover the at	
b. Please charge my Deposit Account No. 22-0261 in the a A duplicate copy of this sheet is enclosed.	mount of \$ to cover the above fees.
c. X The Commissioner is hereby authorized to charge any additional fee Account No. 22-0261 . A duplicate copy of this she	
d. Fees are to be charged to a credit card. WARNING: Information c should not be included on this form. Provide credit card inform	on this form may become public. Credit card information ation and authorization on PTO-2038
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not be filed an granted to restore the International Application to pending sta	en met, a petition to revive (37 CFR 1.137(a) or (b)) must be tus.
SEND ALL CORRESPONDENCE TO:	SIGNATURE SIGNATURE
	James R. Burdett
CUSTOMER NUMBER: 26694	
	31,594 REGISTRATION NUMBER
	·



### United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT		ATTY	. DOCKET NO.
10/554,203	Akiro Otomo		11-224703	
		INTERN	IATIONAL APP	LICATION NO
			PCT/JP04/0	05882
26694		I.A. FILIN	NG DATE	PRIORITY DATE
VENABLE LLP		04/23	/2004	04/25/2003
DUE DATE //wi	#224703 ATTY ORB Ember 6, 2006 E April 6, 2007	371 FORM.	ALITIES L	

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/24/2005
- Copy of the International Search Report filed on 10/24/2005
- Preliminary Amendments filed on 10/24/2005
- Information Disclosure Statements filed on 10/24/2005
- U.S. Basic National Fees filed on 10/24/2005
- Priority Documents filed on 10/24/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$-1450 for a Large Entity:

(A previous payment of \$1450 will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/554,203	PCT/JP04/05882	32011-224703

FORM PCT/DO/EO/905 (371 Formalities Notice)

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PATLINI PROSECUTION RECEIPT OF FIL G

Venable Filing Number Atty. Docket No: Title of Application:	PROBE	Attorney/LAA: PTO Due Date: Current Date:	JAK/Irh October 25, 2005 October 24, 2005
Application No: Patent No. :	New National Stage	Filing Date: Issue Date:	October 24, 2005

The following items were received from Venable LLP, Washington, D.C., by the U.S. Patent & Trademark Office on the date stamped hereon:

		U.S. PTO	D FEES ENCLOSED
	Transmittal Letter	1000	Filing Fee
$\Box$	Fee Transmittal Letter	1000	_ rimg ree
	New U.S. Provisional Application ( pages of specification/claims)	260	Surcharge Fee
	Rule 53(d) Continued Prosecution Application Rule 53(b) Continuation or Divisional Application (attach copy of	1450	Additional Claim Fee
$\boxtimes$	specification, claims, drawings and declaration) U.S. National Stage Application of PCT Application	40	Recordation/Indexing Fee
	Request for Continued Examination (RCE) under 37 CFR 1.114 Response		IDS Fee
	Substitute Specification Priority Document-Cert. Copy of Appln.#: ; Country		Extension Fee
	Date Filed: Formal Drawings ( sheets, Figs.)		Notice of Appeal Fee
	Inventor Declaration Assignment w/Cover Sheet		Brief on Appeal
	Response to Notice to File Missing Parts Response to Notice to File Missing Requirements		Oral Hearing Request Fee
	Response to Restriction Requirement Information Disclosure Statement with 3 cited references		Petition Fee
	Response  ☐Amendment/☑ Preliminary Amendment		Issue Fee
	Petition/Request for Extension of Time ( mo. ext.) Power of Attorney		Publication Fee
Ħ	Petition to Revive Sequence Listing – CDR Enclosed?  Yes No		Maintenance Fee
	Request for Non-Publication Request to Rescind Non-Publication Request		Other Fees (Describe)
	Terminal Disclaimer	-	
	Notice of Appeal	-	
	Appeal Brief (in triplicate)  Reply Brief (in triplicate)/ Request for Oral Hearing	\$2750	Total Fees Paid
	Confirmation of Hearing Petition Issue Fee Transmittal	☐Check I	Number
	Certificate of Correction Maintenance Fee Transmittal Status Inquiry	Attached	e Deposit Account No.
⊠ Applic	Other: (Please describe below)		
-ppiic	cation Data Sheet, PCT/IB/304, PCT/IB/311, WO 2004/101429 sh translation of of the amendments to the claims.	*If the Dep	osit Account was
	in dansiation of the amendments to the claims.		a copy of this form
		sent to Ac	counting? ☑ No

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PTO-1390 (Rev. 02-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 32011-224703 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/005882 23 April 2004 25 April 2003 TITLE OF INVENTION **PROBE** APPLICANT(S) FOR DO/EO/US NATIONAL INSTITUTE OF INFORMATION AND COMMUNICATIONS TECHNOLOGY, INCORPORTAED ADMINISTRATVE AGENCY Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: x This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | x | is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau).

8.	◩	An English language translation of the amendments to the claims under PC1 Article 19 (35 U.S.C. 371 (c)(3)).
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
ltem	s 1	1 to 20 below concern document(s) or information included:
11.	×	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	x	A preliminary amendment.
14.	×	An Application Data Sheet under 37 CFR 1.76.
15.		A substitute specification.
16.		A power of attorney and/or change of address letter.
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20		Other items or information: Petura Passint Postoard PCT/IP/204 PCT/IP/211 with WO 2004/101420

have not been made; however, the time limit for making such amendments has NOT expired.

have been communicated by the International Bureau.

have not been made and will not be made.

b. | x

c. d.

PTO-1390 (Rev. 02-2005)
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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)					INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER		
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21. x Basic national fee\$300								-	CALCULATIONS	PTO USE ONLY
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22. x Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy										
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All other situatio	ns .						\$200	\$	200.00	<u> </u>
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Applicant of	aims	small entity sta	itus. See	37 CF	R 1.27. Fees abo	ve are redu	uced by 1/2.	\$		
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					FR 1.495 has no onal Application			rev	vive (37 CFR 1.137 (a)	) or (b))
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